IFW

### PATENT 2003-IP-010496U1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Bradley L. Todd, et al	) Art Unit: Unknown
Serial No.:	10/765,334	) Art Offit. Offithown
Filed:	01/27/2004	) Examiner: Unknown
For:	Fluid Loss Control Additives for Use in Fracturing Subterranean Formations	) ) ) )

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

#### **U.S. PATENTS**

- U.S. Patent Number 4,817,721 issued 04/04/89 to Kenneth W. Pober;
- U.S. Patent Number 5,142,023 issued 08/25/92 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,247,059 issued 09/21/93 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,359,026 issued 10/25/94 to Patrick R. Gruber;
- U.S. Patent Number 5,475,080 issued 12/12/95 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,484,881 issued 01/16/96 to Patrick R. Gruber, et al;

- U.S. Patent Number 5,536,807 issued 07/16/96 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,594,095 issued 01/14/97 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,849,401 issued 12/15/98 to Ali Zakareya El-Afandi, et al
- U.S. Patent Number 6,326,458 B1 issued 12/04/01 to Patrick Richard Gruber, et al;
- U.S. Patent Number 6,763,888 B1 issued 07/20/04 to Ralph Edmund Harris, et al;
- U.S. Patent Application Publication Number 2004/0261993 A1 published 12/30/04 by Philip D. Nguyen (S/N 10/608,319, Ref. No. 2003-IP-010077U1, filed 06/27/03);
- U.S. Patent Application Publication Number 2004/0261995 A1 published 12/30/04 by Philip D. Nguyen, et al (S/N 10/608,291, Ref. No. 2002-IP-009210U1, filed 06/27/03);
- U.S. Patent Application Publication Number 2004/0261996 A1 published 12/30/04 by Trinidad Munoz, Jr., et al (S/N 10/609,031, Ref. No. 2002-IP-009052U1, filed 06/27/03);
- U.S. Patent Application Publication Number 2004/0261999 A1 published 12/30/04 by Philip D. Nguyen (S/N 10/608,373, Ref. No. 2003-IP-010077U2, filed 06/27/03);
- U.S. Patent Application Publication Number 2005/0028976 A1 published 02/10/05 by Philip D. Nguyen (S/N 10/634,705, Ref. No. 2003-IP-010039U1,

filed 08/05/03);

- U.S. Patent Application Publication Number 2005/0034861 A1 published 02/17/05 by Rajesh K. Saini, et al (S/N 10/736,339, Ref. No. 2001-IP-005484U1P1, filed 12/15/03);
- U.S. Patent Application Publication Number 2005/0034865 A1 published 02/17/05 by Bradley L. Todd, et al (S/N 10/641,242, Ref. No. 2001-IP-005484U1, filed 08/14/03);
- U.S. Patent Application Publication Number 2005/0034868 A1 published 02/17/05 by Keith A. Frost, et al (S/N 10/752,752, Ref. No. 2003-IP-011261U1P1, filed 01/07/04);
- U.S. Patent Application Serial No. 10/650,101, *Compositions and Methods for Reducing the Viscosity of a Fluid*, filed 08/26/03 by Bradley L. Todd, et al (Ref. No. 2001-IP-005443U2);
- U.S. Patent Application Serial No. 10/661,173, *Methods of Removing Filter Cake from Well Producing Zones*, filed 09/11/03 by Bradley L. Todd, et al (Ref. No. 2001-IP-005451U1);
- U.S. Patent Application Serial No. 10/664,126, *Improved Subterranean*Treatment Fluids and Methods of Treating Subterranean Formations, filed
  09/17/03 by Bradley L. Todd, et al (Ref. No. 2003-IP-010228U1); and
  U.S. Patent Application Serial No. 10/736,152, *Filter Cake Degradation*Compositions and Methods of Use in Subterranean Operations, filed 12/15/03 by

09/17/03 by Bradley L. Todd, et al (Ref. No. 2003-IP-010228U1); and
U.S. Patent Application Serial No. 10/736,152, *Filter Cake Degradation*Compositions and Methods of Use in Subterranean Operations, filed 12/15/03 by

Bradley L. Todd (Ref. No. 2002-IP-006658U1).

## **FOREIGN PATENTS**

Int'l Publication Number WO 93/15127 published 08/05/93 by Patrick R. Gruber, et al;

Int'l Publication Number WO 94/07949 published 04/14/94 by Patrick Richard Gruber, et al;

Int'l Publication Number WO 94/08078 published 04/14/94 by Patrick Richard Gruber, et al;

Int'l Publication Number WO 94/08090 published 04/14/94 by Patrick Richard Gruber, et al;

Int'l Publication Number WO 95/09879 published 04/13/95 by Patrick Richard Gruber, et al

Int'l Publication Number WO 97/11845 published 04/03/97 by Ali Zakareya El-Afandi, et al; an

European Patent Number 0 879 935 A3 published 02/10/99 by Jim D. Weaver, et al.

# PAPERS/OTHER

None.

# **BROCHURES**

None.

Copies of the aforementioned non-patent references and Form PTO-1449 are submitted herewith.

Respectfully submitted,

Registration No. 28,626 Halliburton Energy Services P. O. Box 1431

Duncan, OK 73536-0440 580-251-3125

PTO-1449

MAR 2 8 2005

Application No. 10/765,334 Applicant(s)

Group Art Unit

Bradley L. Todd, et al Filing Date

Information Disclose City City

**Docket Number** 2003-IP-010496U1

01/27/2004

#### **U.S. PATENT DOCUMENTS**

5,817,721 5,142,023 5,247,059 5,359,026 5,475,080 5,484,881 5,536,807 5,594,095 5,849,401 5,326,458 B1	04/04/89 08/25/92 09/21/93 10/25/94 12/12/95 01/16/96 07/16/96 01/14/97 12/15/98	Pober Gruber, et al Gruber, et al Gruber Gruber, et al	166 528 528 528 528 528 528 528	295 354 354 354 354 354 354 354	12/14/87 01/24/92 08/24/92 07/30/93 03/22/93 08/23/93
5,247,059 5,359,026 5,475,080 5,484,881 5,536,807 5,594,095 5,849,401	09/21/93 10/25/94 12/12/95 01/16/96 07/16/96 01/14/97	Gruber, et al Gruber Gruber, et al	528 528 528 528 528	354 354 354 354 354	08/24/92 07/30/93 03/22/93 08/23/93 08/23/93
5,359,026 5,475,080 5,484,881 5,536,807 5,594,095 5,849,401	10/25/94 12/12/95 01/16/96 07/16/96 01/14/97	Gruber, et al	528 528 528 528	354 354 354 354	07/30/93 03/22/93 08/23/93 08/23/93
5,475,080 5,484,881 5,536,807 5,594,095 5,849,401	12/12/95 01/16/96 07/16/96 01/14/97	Gruber, et al Gruber, et al Gruber, et al Gruber, et al	528 528 528	354 354 354	03/22/93 08/23/93 08/23/93
5,484,881 5,536,807 5,594,095 5,849,401	01/16/96 07/16/96 01/14/97	Gruber, et al Gruber, et al Gruber, et al	528 528	354 354	08/23/93 08/23/93
5,484,881 5,536,807 5,594,095 5,849,401	07/16/96 01/14/97	Gruber, et al	528	354	08/23/93
5,536,807 5,594,095 5,849,401	01/14/97	Gruber, et al	<del> </del>		
5,594,095 5,849,401		<del></del>	528	254	
5,849,401	12/15/98			354	07/27/94
		El-Afandi, et al	428	215	05/03/96
,	12/04/01	Gruber, et al	528	354	10/07/93
6,763,888 B1	07/20/04	Harris, et al	166	305.1	03/20/00
JS 2004/0261993A1 US Pat. App. Ser. No. 10/608,319)	12/30/04	Nguyen (Ref. No. 2003-IP-010077U1)	166	276	06/27/03
JS 2004/0261995A1 US Pat. App. Ser. No. 10/608,291)	12/30/04	Nguyen, et al (Ref. No. 2002-IP-009210U1)	166	279	06/27/03
JS 2004/0261996A1 US Pat. App. Ser. No. 10/609,031)	12/30/04	Munoz, Jr., et al (Ref. No. 2002-IP-009052U1)	166	279	06/27/03
JS 2004/0261999A1 US Pat. App. Ser. No. 10/608,373)	12/30/04	Nguyen (Ref. No. 2003-IP-010077U2)	166	292	06/27/03
JS 2005/0028976A1 US Pat. App. Ser. No. 10/634,705)	02/10/05	Nguyen (Ref. No. 2003-IP-010039U1)	166	276	08/05/03
シリンしょ リレンシリンシリ	004/0261993A1 JS Pat. App. Ser. lo. 10/608,319) IS 004/0261995A1 JS Pat. App. Ser. lo. 10/608,291) IS 004/0261996A1 JS Pat. App. Ser. lo. 10/609,031) IS 004/0261999A1 JS Pat. App. Ser. lo. 10/608,373) IS 005/0028976A1 JS Pat. App. Ser.	004/0261993A1  JS Pat. App. Ser. lo. 10/608,319) IS 004/0261995A1  JS Pat. App. Ser. lo. 10/608,291) IS 004/0261996A1  JS Pat. App. Ser. lo. 10/609,031) IS 004/0261999A1  JS Pat. App. Ser. lo. 10/608,373) IS 005/0028976A1  JS Pat. App. Ser. lo. 10/50028976A1  JS Pat. App. Ser. lo. 10/5028976A1  JS Pat. App. Ser.	12/30/04   Nguyen (Ref. No. 2003-IP-010077U1)   12/30/04   Nguyen (Ref. No. 2003-IP-010077U1)   12/30/04   Nguyen, et al (Ref. No. 2002-IP-009210U1)   12/30/04   Nguyen, et al (Ref. No. 2002-IP-009210U1)   12/30/04   Nguyen, et al (Ref. No. 2002-IP-009210U1)   12/30/04   Nguyen, et al (Ref. No. 2002-IP-009052U1)   12/30/04   Nguyen (Ref. No. 2002-IP-009052U1)   12/30/04   Nguyen (Ref. No. 2003-IP-010077U2)   12/30/04   Nguyen (Ref. No. 2003-IP-010077U2)   12/30/04   Nguyen (Ref. No. 2003-IP-010077U2)   12/30/04   Nguyen (Ref. No. 2003-IP-010039U1)   12/30/04   Nguyen (Ref. No. 2003-IP-010039U1)	12/30/04   Nguyen (Ref. No. 2003-IP-010077U1)   166   166   10/608,319)   18   12/30/04   Nguyen, et al (Ref. No. 2002-IP-009210U1)   166   16	12/30/04   Nguyen (Ref. No. 2003-IP-010077U1)   166   276

**EXAMINER DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



PTO-1449

Information Disclosure Citation in an Application

Application No. **10/765,334** 

2003-IP-010496U1

Applicant(s)

Bradley L. Todd, et al

Docket Number

Group Art Unit

Filing Date **01/27/2004** 

#### **U.S. PATENT DOCUMENTS**

DOCUMENT NO.	ISSUE/PUB. DATE	NAME	CLASS	SUBCLASS	FILING DATE
US 2005/0034861A1 (US Pat. App. Ser. No. 10/736,339)	02/17/05	Saini, et al (Ref. No. 2001-IP-005484U1P1)	166	278	12/15/03
US 2005/0034865 A1 (US Pat. App. Ser. No. 10/641,242)	02/17/05	Todd, et al (Ref. No. 2001-IP-005484U1)	166	304	08/14/03
US 2005/0034868A1 (US Pat. App. Ser. No. 10/752,752)	02/17/05	Frost, et al (Ref. No. 2003-IP-011261U1P1)	166	307	01/07/04
US Pat. App. Ser. No. 10/650,101	-	Todd, et al (Ref. No. 2001-IP-005443U2)	-	-	08/26/03
US Pat. App. Ser. No. 10/655,883	-	Nguyen (Ref. No. 2003-IP-010580U1)	-	-	09/05/03
US Pat. App. Ser. No. 10/661,173	-	Todd, et al (Ref. No. 2001-IP-005451U1)	-	-	09/11/03
US Pat. App. Ser. No. 10/664,126	-	Todd, et al (Ref. No. 2003-IP-010228U1)	-	-	09/17/03
US Pat. App. Ser. No. 10/736,152	-	Todd (Ref. No. 2002-IP-006658U1)	-	-	12/15/03

#### **FOREIGN PATENT DOCUMENTS**

DOCUMENT NO	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
DOCUMENT NO.	DATE	COUNTRY			Yes	No
WO 93/15127	08-05-93	PCT	C08G	63/06	Х	
WO 94/07949	04-14-94	PCT	C08K	11/00	Х	•
WO 94/08078	04-14-94	PCT	D01F	6/62	X	
WO 94/08090	04-14-94	PCT	D21H	19/28	Х	·
WO 95/09879	04-13-95	PCT	C08G	63/08	Х	
WO 97/11845	04-03-97	PCT	B32B	27/08	X	
EP 0 879 935 A3	10-02-99	Europe	E21B	43/26	X	
	WO 94/07949 WO 94/08078 WO 94/08090 WO 95/09879 WO 97/11845	WO 93/15127 08-05-93 WO 94/07949 04-14-94 WO 94/08078 04-14-94 WO 94/08090 04-14-94 WO 95/09879 04-13-95 WO 97/11845 04-03-97	WO 93/15127       08-05-93       PCT         WO 94/07949       04-14-94       PCT         WO 94/08078       04-14-94       PCT         WO 94/08090       04-14-94       PCT         WO 95/09879       04-13-95       PCT         WO 97/11845       04-03-97       PCT	WO 93/15127       08-05-93       PCT       C08G         WO 94/07949       04-14-94       PCT       C08K         WO 94/08078       04-14-94       PCT       D01F         WO 94/08090       04-14-94       PCT       D21H         WO 95/09879       04-13-95       PCT       C08G         WO 97/11845       04-03-97       PCT       B32B         EP 0 879 935 A3       PCT       B32B	WO 93/15127       08-05-93       PCT       C08G       63/06         WO 94/07949       04-14-94       PCT       C08K       11/00         WO 94/08078       04-14-94       PCT       D01F       6/62         WO 94/08090       04-14-94       PCT       D21H       19/28         WO 95/09879       04-13-95       PCT       C08G       63/08         WO 97/11845       04-03-97       PCT       B32B       27/08	DOCUMENT NO.         DATE         COUNTRY         CLASS         SUBCLASS         Yes           WO 93/15127         08-05-93         PCT         C08G         63/06         X           WO 94/07949         04-14-94         PCT         C08K         11/00         X           WO 94/08078         04-14-94         PCT         D01F         6/62         X           WO 94/08090         04-14-94         PCT         D21H         19/28         X           WO 95/09879         04-13-95         PCT         C08G         63/08         X           WO 97/11845         04-03-97         PCT         B32B         27/08         X           EP 0 879 935 A3         PCT         B32B         27/08         X

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5) 1	Patent application	
,	of	
		Inventor(a)
1	or	Title of invention
		The of hivehall,
		OR
i	n re application of: Bradley I	. Todd, et al
F	Application No.: 0 10 / 765,334 Filed: 01/27/2004	Examiner:
-	or: Fluid Loss Control Add Formations Mall Stop Amendment	litives for Use in Fracturing Subterranean
C	Commissioner for Patents	
	P.O. Box 1450 Nexandria, VA 22313-1450	
	WITHIN THE	ORMATION DISCLOSURE STATEMENT REE MONTHS OF FILING OR RST OFFICE ACTION (37 C.F.R. § 1.97(b))
	(When using Express Mai	JNDER 37 C.F.R. §§ 1.8(a) and 1.10° ii, the Express Mail label number is mandatory; n Mail certification is optional.)
11	nereby certify that, on the date shown belo	ow, this correspondence is being:
8	deposited with the United States Postal S Box 1450, Alexandria, VA 22313-1450	dervice in an envelope addressed to Commissioner for Patents, P.O.
	37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10 *
23	with sufficient postage as first class mail	.   as "Express Mail Post Office to Addressee"  Mailing Label No
		TRANSMISSION
	facsimile transmitted to the Patent and Tr	rademark Office, (703)
Dat	<u>3/33/05</u>	Signature
	/ 7	Tammy Knight
		(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 1 of 3) NOTE: 37 C.F.R. 1.986):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue data.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.
- WARNING: No extension of time can be had under 37 C.F.R. § 1.138 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).
- NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filled in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filled the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.496. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the international Bureau, and optionally an English translation into English if made in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period, it is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 2 of 3) NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(dj). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63), But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

Reg. No.: 28,626

Tel. No.: (580) 251-3125

Customer No.:

SIGNATURE OF PRACTITIONER

Robert A. Kent

(type or print name of practitioner)

P.O. Box 1431

P.O. Address

Duncan, OK 73536-0440

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 3 of 3)